

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of Dan A. Steinberg

Application No. 09/862,593

Attorney Docket No. Shipley 03-16

Filed: May 23, 2001

For: METHOD FOR MAKING INTEGRATED
OPTICAL WAVEGUIDES AND
MICROMACHINED FEATURES

Examiner: Kripa Sagar

Group Art Unit: 1756

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.9(a)

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

May 27, 2003
Date of CertificateCristin Donahue
Cristin DonahueCommissioner of Patents
and Trademarks
Washington, D.C. 20231INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicant is submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. The fee required under 37 C.F.R. 1.97(c) was paid previously in connection with an IDS dated May 22, 2003. Accordingly, no additional fee is due. In the event that a fee is due, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

Applicant respectfully requests full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

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By

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Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449

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| INFORMATION DISCLOSURE STATEMENT | <i>Complete if known</i> |
| | Application Number: 09/862,593 |
| | Filing Date: May 23, 2001 |
| | First Named Inventor: Dan A. Steinberg |
| | Group Art Unit: 1768 |
| | Examiner Name: Kripa Sagar |
| SHEET 1 OF 1 | Attorney Docket Number: Shipley 03-16 |

| UNITED STATES PATENT DOCUMENTS | | | | |
|--------------------------------|-------------|---------------|--------------------------|----------------------|
| EXAMINER'S INITIALS | CITE NO. | PATENT NUMBER | ISSUE DATE MM-DD-YYYY | FIRST NAMED INVENTOR |
| | | | | |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|-------------|-----------------|----------------------|-----------------------------------|--------------------------------------|
| EXAMINER'S INITIALS | CITE NO. | DOCUMENT NUMBER | COUNTRY OR REGION | DATE OF PUBLICATION MM-DD-YYYY | FIRST NAMED INVENTOR OR APPLICANT |
| | | 0453753 | EPO | 10/30/1991 | Haakey, Mark C. |

| OTHER PRIOR ART - NON-PATENT DOCUMENTS | | |
|--|-------------|--|
| EXAMINER'S INITIALS | CITE NO. | Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published |
| | | |

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|-------------------------|--|--------------------|--|
| EXAMINER'S SIGNATURE | | DATE CONSIDERED | |
|-------------------------|--|--------------------|--|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.